

II. *John Doe v. University of Minnesota, et al.*

III.

Year 2021-22

**UNIVERSITY OF MINNESOTA
BOARD OF REGENTS**

**Audit & Compliance Committee
October 7, 2021**

A meeting of the Audit & Compliance Committee of the Board of Regents was held on Thursday, October 7, 2021 at 8:30 a.m. in the Boardroom, 600 McNamara Alumni Center.

Regents present: Mike Kenyanya, presiding; Mary Davenport, Darrin Rosha, Steve Sviggum, Bo Thao-Urabe, and Kodi Verhalen.

Staff present: Executive Vice President and Provost Rachel Croson; Vice Presidents Michael Goh and Bernard Gulachek; Interim Vice President Michael Oakes; Executive Director Brian Steeves; Interim Chief Auditor Kelly Kuhns; and Associate Vice President Michael Volna.

Student Representatives present: Riley Tuft and Flora Yang.

The docket materials for this meeting are available [here](#).

Year 2021-22

**UNIVERSITY OF MINNESOTA
BOARD OF REGENTS**

Mission Fulfillment Committee

The docket materials for this item begin on page 94. The closed captioned video of this item is [available here](#).

Request for Approval of New Academic Programs

- Medical School (Twin Cities campus)—Create a Fellowship Program in Multiple Sclerosis
- College of Continuing and Professional Studies (Twin Cities campus)—Create a Master of Professional Studies degree in Sexual Health
- College of Continuing and Professional Studies (Twin Cities campus)—Create a PostBaccalaureate Certificate in Sex Therapy
- College of Continuing and Professional Studies (Twin Cities campus)—Create a PostBaccalaureate Certificate in Regulatory Affairs for Food Professionals
- College of Biological Sciences (Twin Cities campus)—Create an undergraduate minor in Health and Genomics
- College of Arts, Humanities, and Social Sciences (Duluth campus)—Create a PostBaccalaureate Certificate in Indigenous Environmental Systems and Principles of Resource Management
- College of Arts, Humanities, and Social Sciences (Duluth campus)—Create a PostBaccalaureate Certificate in Tribal Administration and Leadership
- College of Arts, Humanities, and Social Sciences (Duluth campus)—Create a PostBaccalaureate Certificate in Tribal Sovereignty and Federal Indian Law
- College of Arts, Humanities, and Social Sciences (Duluth campus)—Create a PostBaccalaureate Certificate in Tribal Natural Resource Stewardship, Economics, and Law I ((o)w

**UNIVERSITY OF MINNESOTA
BOARD OF REGENTS**

**Finance & Operations Committee
October 7, 2021**

A meeting of the Finance & Operations Committee of the Board of Regents was held on Thursday, October 7, 2021, at 2:00 p.m. in the Boardroom, 600 McNamara Alumni Center.

Regents present: Janie Mayeron, presiding; Mary Davenport, James Farnsworth, Douglas Huebsch, Ruth Johnson, Mike Kenyanya, David McMillan, Kendall Powell, Darrin Rosha, Steven Sviggum, Bo Thao-Urabe, and Kodi Verhalen.

Staff present: President Joan Gabel; Chancellor Lori Carrell; Acting Chancellor Janet Schrunk Ericksen; Executive Vice President and Provost Rachel Croson; Senior Vice President Myron Frans; the president's recommended 2021 six-

request, as detailed in the docket.

on page 4. The closed captioned video of this item is

A motion was made and seconded, and the committee voted unanimously to recommend approval of the resolution related to the 2021 Six-Year Capital Plan and the resolution related to the 2022 state capital request.

TWIN CITIES CAMPUS MASTER PLAN

Regent Mayeron invited President Gabel; Senior Vice President Frans; Vice President Berthelsen; Monique MacKenzie, Director, Campus and Capital Planning; and Greg Havens, Principal, Sasaki

Mayeron recessed the meeting at 2:22 p.m. due to a disruption.

Mayeron reconvened the meeting at 2:29 p.m.

OVERVIEW OF UNIVERSITY BUDGET MODEL

Regent Mayeron invited Vice President Tonneson to provide an overview of the University's budget model, as detailed in the docket.

The docket materials for this item begin on page 37. The closed captioned video of this item is [available here](#).

INFORMATION ITEMS

Regent Mayeron invited Senior Vice President Frans and Andrew Parks, Deputy Chief Investment Officer, Office of Investments and Banking, to discuss the information items in the docket:

- Central Reserves General Contingency Allocations
- Annual Asset Management Report
- Investment Advisory Committee Update
- Results of Debt Refunding/New Debt Offering
- Real Estate Annual Report

The docket materials for this item begin on page 290. The closed captioned video of this item is [available here](#).

RESOLUTION TO CONDUCT NON-PUBLIC MEETING OF THE FINANCE & OPERATIONS COMMITTEE PURSUANT TO MINNESOTA STATUTES SECTION 13D.05, SUBDIVISION 3(C)(3)

Regents present for the non-public portion: Janie Mayeron, presiding; Mary Davenport, James Farnsworth, Douglas Huebsch, Ruth Johnson, Mike Kenyanya, David McMillan, Kendall Powell, Darrin Rosha, Steven Sviggum, and Bo Thao-Urabe.

Staff present for the non-public portion: President Joan Gabel; Senior Vice President Myron Frans; Vice President Michael Berthelsen; General Counsel Douglas Peterson; Executive Director Brian Steeves; Associate Vice Michael Volna.

Others present for the non-public portion: Leslie Krueger, Jason Langworthy, and Elizabeth Zamzow.

The meeting adjourned at 5:00 p.m.

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BRIAN R. STEEVES
Executive Director and
Corporate Secretary

Year 2021-22

UNIVERSITY OF MINNESOTA

The meeting adjourned at 9:14 a.m.

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BRIAN R. STEEVES
Executive Director and
Corporate Secretary

Year 2021-22

**UNIVERSITY OF MINNESOTA
BOARD OF REGENTS**

**Board of Regents
October 8, 2021**

A meeting of the Board of Regents of the University of Minnesota was held on Friday, October 8, 2021 at 9:32 a.m. in the Boardroom, 600 McNamara Alumni Center.

Regents present: Kendall Powell, presiding; Mary Davenport, James Farnsworth, Douglas Huebsch, Ruth Johnson, Mike Kenyanya, Janie Mayeron, David McMillan, Darrin Rosha, Steven Sviggum, Bo Thao-Urabe, and Kodi Verhalen.

Staff present: President Joan Gabel; Chancellors Lori Carrell; Acting Executive Chancellor Mary Holz-Clause; Acting Chancellor Janet Schrunk Ericksen; Executive Vice President and Provost Rachel Croson; Senior Vice President Myron Frans; Vice Presidents Michael Goh, Kenneth Horstman, Matt Kramer, and Jakub Tolar; General Counsel Douglas Peterson; Executive Director

REPORT OF THE CHAIR

Regent Powell delivered the report of the Chair.

The docket materials for this item begin on page 24. The closed captioned video of this item is [available here](#).

RECEIVE AND FILE REPORTS

Regent Powell noted that there were no reports to receive and file this month.

The docket materials for this item begin on page 25. The closed captioned video of this item is [available here](#).

CONSENT REPORT

Regent Powell presented for review and action the Consent Report as described in the docket materials, including:

- Gifts
- Nominations to the University of Minnesota Foundation Board of Trustees
- Report of the Naming Committee

The docket materials for this item begin on page 26. The closed captioned video of this item is [available here](#).

The item on nominations to the University of Minnesota Foundation Board of Trustees was separated from the Consent Report. A motion was made and seconded, and the Board voted unanimously to approve the remaining items in the Consent Report.

A motion was made and seconded, and the Board voted unanimously to approve the nominations to the University of Minnesota Foundation Board of Trustees. Regents Farnsworth and Rosha abstained.

PEAK IMPLEMENTATION PLAN

Regent Powell invited President Gabel, Senior Vice President Frans, and Vice President Horstman, to

HEALTH SCIENCES STRATEGY: CLINICAL RESEARCH

Regent Powell reconvened the meeting at 11:07 a.m. and invited Dean Tolar to provide an update on the Health Sciences strategy on clinical research, as detailed in the docket.

The docket materials for this item begin on page 71. The closed captioned video of this item is [available here](#).

DIVERSITY, EQUITY & INCLUSION: UMTC

Regent Powell invited Executive Vice President and Provost Croson, and Vice President Goh to provide an update on diversity, equity, and inclusion on the Twin Cities campus, as detailed in the docket.

The docket materials for this item begin on page 94. The closed captioned video of this item is [available here](#).

REPORT OF THE AUDIT & COMPLIANCE COMMITTEE

Regent Kenya, chair of the committee, reported that the committee did not take action on any items this month.

The committee docket materials can be found [here](#). The closed captioned video of this item is [available here](#).

REPORT OF THE LITIGATION REVIEW COMMITTEE

Regent Huebsch, chair of the committee, reported that pursuant to notice sent by the University, the Litigation Review Committee met on October 7, 2021. At this meeting, a resolution was considered and adopted that authorized the closing of the meeting. In the closed meeting, discussion was held on matters subject to the attorney-client privilege.

The committee docket materials can be found [here](#). The closed captioned video of this item is [available here](#).

REPORT OF THE MISSION FULFILLMENT COMMITTEE

Regent Davenport, chair of the committee, reported that the committee voted to recommend the following item.

The committee docket materials can be found [here](#). The closed captioned video of this item is [available here](#).

- 1) Approval of the Consent Report for the Mission Fulfillment Committee as presented to the committee and described in the October 7, 2021 committee minutes. The committee docket materials for this item can be found on page 94.

A motion was made, and the Board voted unanimously to approve the Consent Report.

REPORT OF THE FINANCE & OPERATIONS COMMITTEE

- (d) Division I Director of Intercollegiate Athletics
- (e) Executive Vice President and Provost
- (f) General Counsel
- (g) Senior Vice President for Finance and Operations
- (h)

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BRIAN R. STEEVES
Executive Director and
Corporate Secretary